

DCSA Small Business Program Overview

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

Steve Mapes,
Director, Office of Small Business Programs





Purpose / Agenda

Purpose: *To provide an agency overview, and to help stakeholders understand how to do business with DCSA.*

- DCSA Organizational Overview
- DCSA Transformation
- DCSA Director's Priorities
- DCSA Office of Small Business Programs
- Facility Clearance Process
- Upcoming Small Business Events





Formation – Integration of Multiple Missions



October 1, 2019 Transfers

- **3** Mission Transfers from DSS, NBIB and DoD CAF
- **4,532** Billets
- **~9,200** Contractors
- **167** Field Offices
- **\$1.18B** Working Capital Fund

October 1, 2020 Transfers

- **4+** Mission and Functional Area Transfers from DISA, DMDC, DIA, and OPM
- **157** Billets
- **~500** Contractors
- **14** IT Systems (5 DMDC, 9 OPM)



(U) Mission Overview

DCSA BY THE NUMBERS



10,000

+

Employees & Contractors



167 Field Locations



2.6M

Annual Investigations

Administers the NISP for DoD and



33

Federal Agencies

\$ \$2.461B

DCSA FY21 Budget

WCF - \$1.359B

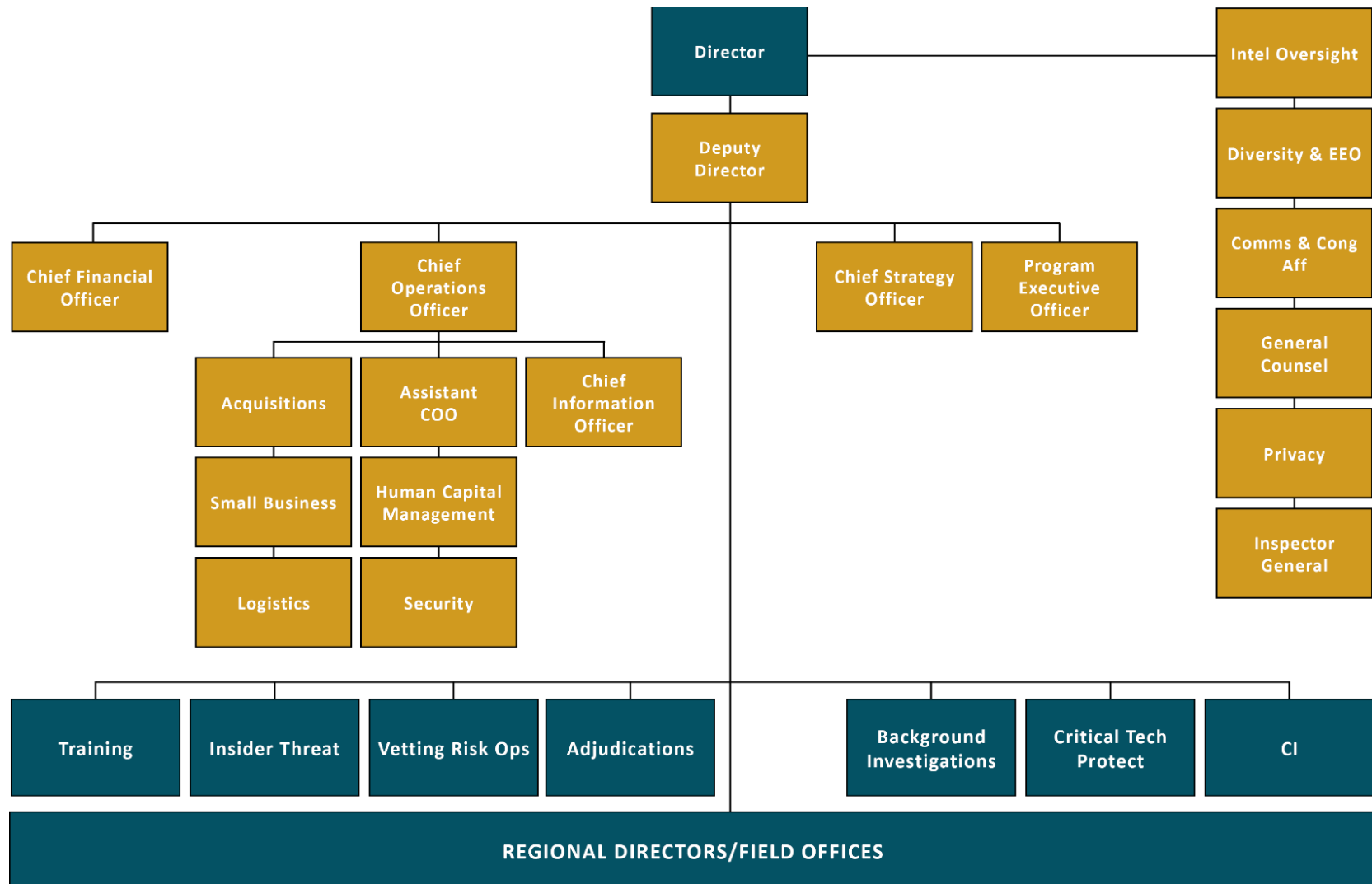
App. - \$1.102B

(U) Through vetting, industry engagement, counterintelligence support and education, DCSA secures the trustworthiness of the U.S. Government's workforce, the integrity of its cleared contractor support and the uncompromised nature of its technologies, services and supply chains

- (U) **Background Investigations.** Deliver timely and comprehensive background investigations for over 100 federal departments and agencies.
- (U) **Continuous Vetting.** Continuously vet cleared personnel to identify near-term personnel risks.
- (U) **Adjudications.** Render determinations on eligibility to occupy national security sensitive and public trust positions.
- (U) **Insider Threat.** Identify, assess and mitigate risk from insiders, manage and integrate unauthorized disclosures and InT capabilities for the DoD.
- (U) **Critical Technology Protection.** Implement multi-discipline risk-based industrial security oversight for ~10,000 cleared companies and 12,500 contractor facilities.
- (U) **Counterintelligence.** Conduct CI functional services with U.S. intelligence and law enforcement to identify, assess, and neutralize foreign intelligence and cyber threats.
- (U) **National Security Learning Center.** Provide education, training, and certification of U.S. Government and industry persons with security responsibilities.
- (U) **National Background Investigation Services.** Develop and provide enterprise personnel vetting IT data services to the U.S. Government.



(U) Transitional Organization



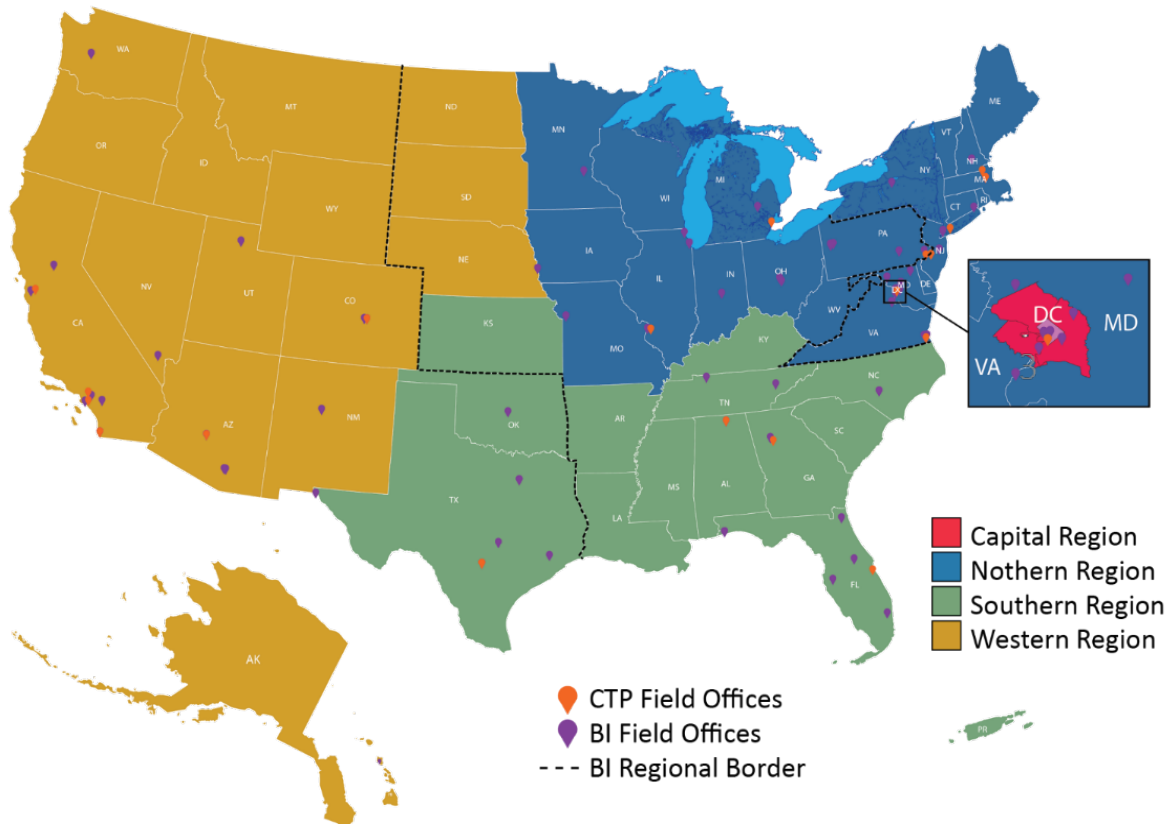


(U) DCSA Field Presence

3-4 Regions

80 Field Offices

72 Resident Offices



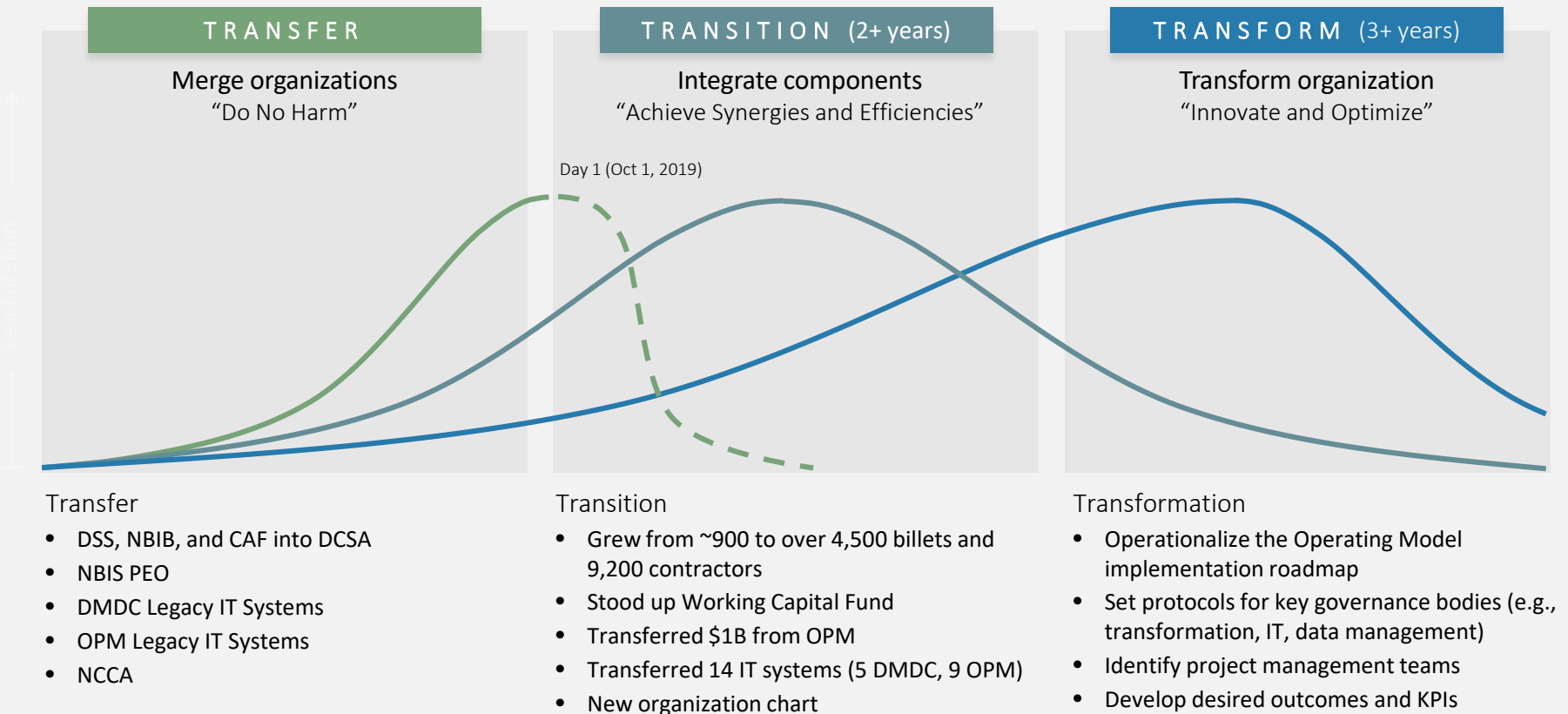
Industry Presence	
Cleared Facilities	~12,000
Companies	~10,000
Accredited Systems	~5,000
Cleared Personnel	~940K
CI Presence	
Regions	4
Field Offices	26
Resident Offices	18
CISAs	87
CTP Presence	
Regions	4
Field Offices	26
Resident Offices	21
Field Investigators	275
BI Presence	
Regions	3
Field Offices	58
Resident Offices	54
Field Investigators	1,587
Contract Support	5,075

Cleared facilities clustered around major cities and military installations



DCSA's Pathway to Transformation

In 2017 Congress ordered the consolidation of personnel vetting services under DoD, launching a multi-year Transfer/Transition/Transformation process to establish DoD as the premier provider of national security and personnel vetting services for the Federal government.





DCSA Director's Priorities

EXTERNAL | Mission-focused

(Top 5, prioritized by national security impact)

1 Critical Technology Protection (CTP)

- a. Implement risk-based industrial security methodology
- b. Implement facility clearance denial, invalidation, revocation, and appeals
- c. Establish lanes and methodology for CTP missions on uncleared supply chains and controlled unclassified information (CUI) mission
- d. Establish systems-based approach to data ingest, integration and analysis on NISP and non-NISP missions

2 Continuous Evaluation/Vetting (CE/CV) Capability

- a. Establish TW 1.25 as CV interim solution for non-DOD Departments and Agencies
- b. Complete enrollment of DoD population in Mirador CE and DNI CES to meet TW 1.25 and TW 1.5 requirements
- c. Develop TW 1.5 and 2.0 product offerings for the WCF

3 National Background Investigation Service (NBIS)

- a. Expedite path to operational BI and CE capabilities
- b. Establish PV IT Governance

4 Counterintelligence (CI)

- a. Design and implement enterprise-wide role for CI, supporting industrial security and background investigations
- b. Advance AI/ML platform, e.g. JCITS, to fill enterprise-wide role
- c. Assess impact of CI entering IC

5 Background Investigations (BI)

- a. Standardize implementation of investigative processes IAW current/future policies
- b. Sustain quality while reducing cycle time
- c. Implement TW 2.0 changes and develop metrics for mission performance



INTERNAL | Efficiency & Optimization

(Top 7, prioritized by mission impact)

1 Integrate Transfers

- a. OPM transfer of Legacy IT and FM
- b. DIA transfer of NCCA, diplomatic security mission and SCIF accreditation

2 DCSA Operating Model Implementation

- a. Align missions to op-model design and implementation plans
- b. Reorganization of field operations
- c. Implement service-based business models and office tools

3 Financial Management (FM)

- a. Finalize WCF cost management policy
- b. Approval of FM governance

4 Acquisition (AQ)

- a. Establish automated acquisition workload ingest and tracking system
- b. Revamp requirements development and review processes

5 Information Technology (IT)

- a. Field Defense Information System for Security (DISS), sunset JPAS
- b. Refactor National Industrial Security System (NISS)
- c. Post-merger integration to get off OPM/DISA/DMDC network

6 Data Management

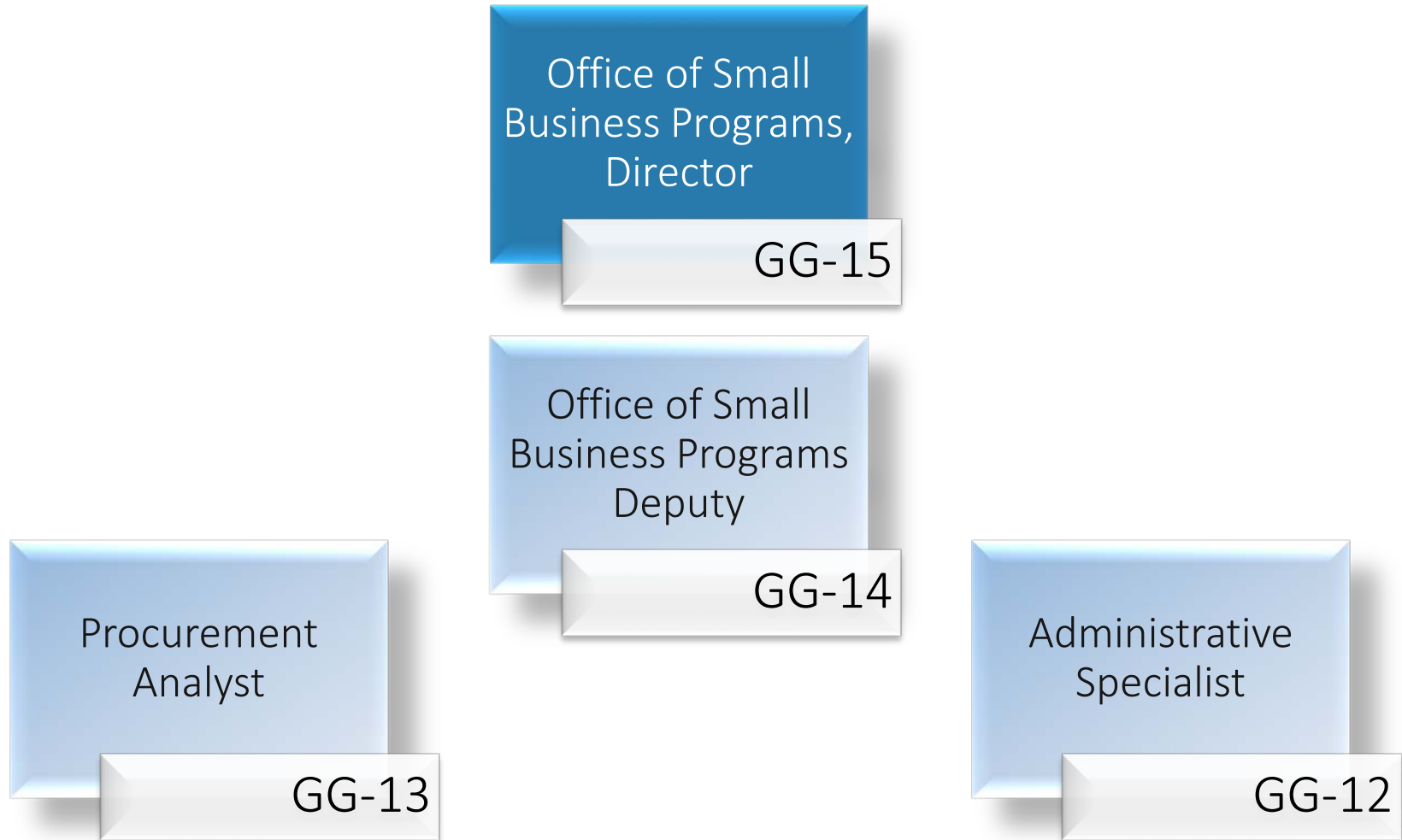
- a. Develop enterprise KPI/Metrics
- b. Manager mission data as a strategic asset; source once, share broadly

7 Facilities

- a. DCSA Master Plan
- b. Prospectus, NCR (TRB/Milestone Buildout)



DCSA Office of Small Business Programs





Small Business Strategic Goals and Objectives

Goal 1: Align with DCSA Transition and Transformation strategy

- Introduce automated market research tool
- Publish/maintain updated contract forecast
- Improve business processes
- Grow the DCSA Small Business office

Goal 2: Expand/educate the DCSA Small Business Industrial Base

- Attract qualified businesses
- Assist/educate small businesses in the FCL process
- Establish/maintain predictable outreach and access
- Establish Mentor Protégé Program

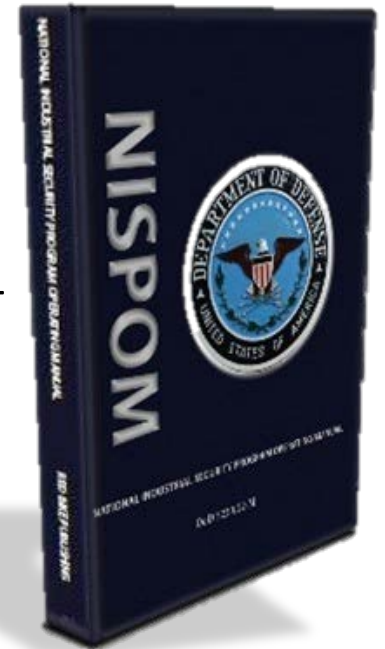
Goal 3: Strengthen partnerships across the Small Business community

- Work closely with the SBA to track opportunities and updates
- Leverage enterprise-wide partners, e.g., DLA's Procurement Technical Assistance Program (PTAP)



Facility Clearance (FCL)

- A Facility Clearance (FCL) is an administrative determination that a company is **eligible for access to classified information**
- National Industrial Security Program Operating Manual (NISPOM), DoD 5220.22-M governs the FCL process and procedures.
 - DCSA is the oversight authority
- National Industrial Security Program (NISP) is made up of **12,500 facilities**
 - **65% of those facilities are small businesses!**





The Facility Clearance Basics

How can you get an FCL?

- A contractor or prospective contractor cannot apply for its own FCL
- Contractors must be sponsored by Government Contracting Agency (GCA) or cleared contractor
- Contractor must have legitimate need to have access to classified materials. (prime or subcontract)
- Sponsorship can occur either pre contract award, or post contract award.

What are company requirements?

- Must be organized in the US
- Must have a reputation for integrity and lawful business dealings
- Must not be under Foreign Ownership or Controlling Interest (FOCI)

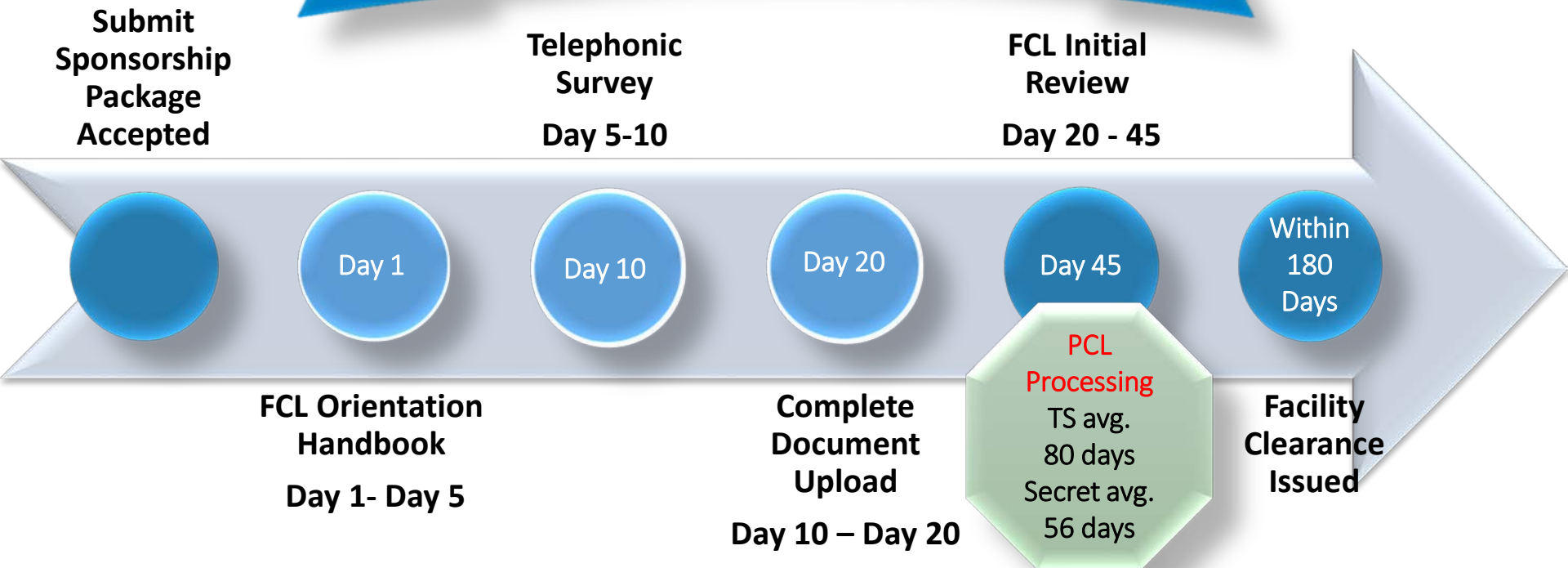
How much does an FCL cost?

- The government funds the processing of PCLs and FCLs for access to classified information.
- A FCL remains active until the company no longer has a need to access classified information



FCL Process

FCL Timelines average 180 days



Visit the DCSA/CTP Webpage for helpful instructions on how to navigate the FCL process.

<https://www.dcsa.mil/mc/ctp/fc/>



Top NAICS for FY20

NAICS	Percent of Agency spend per NAICS	Percent of Small Business spend per NAICS
561611 (INVESTIGATION SERVICES)	84% \$876.8M	5.9% \$52.3M
541611 (ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT CONSULTING SERVICES)	3.74% \$38.7M	72.6% \$28.1M
541512 (COMPUTER SYSTEMS DESIGN SERVICES)	2.7% \$28.1M	74.4% \$20.9M
541219 (OTHER ACCOUNTING SERVICES)	1.9% \$20.1M	100% \$20.1M

DCSA Small Business Goaling



Overall Small Business Actions for FY20

Goal: 7%

Actual: 28%

197 Small Business Actions
\$148.8M (eligible \$528M)

Small Disadvantaged Business (including 8a)

Goal: 5%

Actual: 17.2%

Women Owned Small Business

Goal: 5%

Actual: 3.8%

Service Disabled Veteran Owned Small Business

Goal: 3%

Actual: 4.4%

Certified HUBZone Small Business

Goal: 3%

Actual: 1.4%



Resources

How can you stay in the know with DCSA?

- Send an email to the below address and request to be **added to the DCSA Small Business distro list** for monthly newsletters

dcsa.quantico.dcsa.mbx.small-business@mail.mil

- **Review contracting forecast** posted on the DCSA/Small Business website:

<https://www.dcsa.mil/contact/small-business-program/>

- **Request in person meeting** with the DCSA Small Business Director
- When researching betaSAM, **utilize agency Code “97AV”** for ease of results



Upcoming DCSA OSBP Events

- End of January, release the **DCSA procurement forecast**
- 4 Feb, host the first 2021, DCSA Small Business Webinar
“**Doing Business with DCSA**”
- 10 – 11 Feb, DCSA will host the 2021,
Virtual Security Conference for Industry,
“Back to Basics”





Questions?



Director, Office of Small Business Programs

steven.s.mapes2.civ@mail.mil



Back Up

**DEFENSE
COUNTERINTELLIGENCE
AND SECURITY AGENCY**





(U) Critical Technology Protection Mission and Focus



(U) Provide security services to DoD Components, 33 other Federal Executive Branch Agencies, and selected elements of the Industrial Base ensuring the identification and mitigation of security risks

(U) Focus Areas

- (U) Identify and mitigate risk
- (U) Authorize classified information systems
- (U) Assess security in the National Industrial Security Program (NISP)
- (U) Manage facility-security-clearances (FCL)
- (U) Interpret NISP policy and provide guidance
- (U) Mitigate Foreign Ownership, Control or Influence (FOCI)
- (U) Protect Arms, Ammunition, and Explosives

(U) Transformation Areas

- (U) Improve FCL process & FOCI mitigation
- (U) Scale risk-based security assessments
- (U) Mature CI integration into FCL determinations
- (U) Establish CUI Program
- (U) Mitigate supply chain risk in Defense Industrial Base



(U) Counterintelligence Mission and Focus



(U) Conduct CI functional services in close coordination with U.S. intelligence, security, and law enforcement counterparts to identify, assess, and neutralize foreign intelligence and cyber threats to DCSA, TW, the cleared national industrial base, and its technologies, supply chains, and personnel

(U) Focus Areas

- (U) Support the collection on and analysis of FIE targeting cleared industry and academia, personnel security mission, and DCSA
- (U) Maintain analytic depth-of-understanding of FIE threats to critical U.S. technologies, programs, information, and personnel within cleared industry
- (U) Refer quality information to Military Department CI Organizations, Intelligence Community (IC), and Law Enforcement partners for investigative or operational action
- (U) Derive intelligence from FIE cyber behaviors leveraging AI/Machine Learning platforms, e.g. the Joint Cyber Intelligence Tool Suite (JCITS)

(U) Transformation Areas

- (U) Mature CI Operations, CI Analysis, and CI Cyber support to personnel security – Background Investigations, Vetting Risk Operations Center, & Adjudications
- (U) Expanding internal CI capability
- (U) NDAA Section 6431 response determined DCSA CI's IC designation requires minimal adjustments:
 - (U) Organized and authorized to advance the National Counterintelligence and Security Center's Strategic Objectives
 - (U) Robust collection activities and analytic production already adhere to IC standards and Directives



(U) Background Investigations Mission and Focus



(U) To ensure the trustworthiness of the United States Government's workforce through the delivery of high-quality, timely, and relevant investigative services

(U) Focus Areas

- (U) Deliver high-quality and timely background investigative products and services supporting a government-wide, trustworthy workforce
- (U) Promote a culture of accountability and transparency
- (U) Sustain strong internal and external partnerships to meet Federal and Industrial stakeholder emerging needs
- (U) Reform, strengthen, and modernize processes to adapt to emerging threats, reduce risk and to enable a mission ready workforce

(U) Transformation Areas

- (U) Implement new Trusted Workforce 2.0 policy framework plus interim measures
- (U) Transition from legacy IT systems to NBIS, an end-to-end IT infrastructure, to promote increased reciprocity
- (U) Coordinate and educate 16,000+ law enforcement entities across the US to improve sharing of criminal history records and information
- (U) Leverage interim technology capabilities to enhance mission execution



(U) Vetting Risk Operations Mission and Focus



Provide full spectrum of risked-based operations to identify and address personnel-security risk to preserve mission readiness.

(U) Focus Areas

- (U) Implement the CV program for the TW
- (U) Screen and vet individuals with foreign influence and preference concerns
- (U) Manage the NISP contractor population through personnel clearance oversight and background investigation submissions
- (U) Vet and monitor International Military Students

(U) Transformation Areas

- (U) Leverage new technology and vetting information sources to identify personnel security risk
- (U) Expand population enrolled in CV to align with emerging TW policy
- (U) Further integrate InT within the CV and expedited-screening model



(U) Adjudication Mission and Focus



(U) Deliver informed and timely adjudicative decisions, supporting a trusted workforce, to enable operational readiness and risk management.

(U) Focus Areas

- (U) Maintain healthy inventory and execute national security eligibility, civilian suitability and credentialing decisions
- (U) Improve quality and consistency of adjudicative decisions and business processes through workforce development and training
- (U) Strengthen relationships with personnel security partners
- (U) Improve customer experience and communications
- (U) Expand mission space to deliver enhanced services to customers

(U) Transformation Areas

- (U) Standardize reciprocity program within DCSA to improve program performance
- (U) Evolve the adjudications knowledge-management platform
- (U) Leverage automated records checks to resume use of conditional-grants for avoidable due-process proceedings
- (U) Detect adjudicative bias and enhance quality assessments through machine learning capabilities



(U) DITMAC Mission and Focus



(U) Provide the DOD enterprise a capability to identify, assess and mitigate risk from insiders, to oversee and manage unauthorized disclosures, and to integrate, manage, mature and professionalize insider InT capabilities

(U) Focus Areas

- (U) Provide a timely, holistic view of InT risk and proactive mitigation recommendations
- (U) Increase training and certification opportunities
- (U) Mature and progress DOD InT and unauthorized disclosure programs' capabilities
- (U) Manage and oversee the identification, reporting, tracking and mitigation of unauthorized disclosures
- (U) Engage and collaborate with DOD, U.S. Government and industry partners on information sharing initiatives
- (U) Advance and maintain DOD's InT repository, case management and reporting system

(U) Transformation Areas

- (U) Rollout updated system, explore areas for enhancement and InT modernization
- (U) Facilitate increased reporting from DOD Components
- (U) Pursue opportunities to integrate InT across other DCSA mission areas
- (U) Drive integration of prevention, assistance and response capabilities with the InT enterprise
- (U) Develop enterprise-wide performance standards and metrics
- (U) Expand behavioral science and threat management support to InT enterprise



(U) NSLC Mission and Focus



(U) Enable a security-focused cadre to protect the nation through the provision of security training, and performance-support materials

(U) Focus Areas

- (U) Deliver instructor-led courses in a virtual environment.
- (U) Support interagency partners and key stakeholders across the federal government by providing state-of-the-art polygraph training.
- (U) Ensure the entire enterprise of agencies are compliant with federal laws, policies, and agency-procedures through audits of polygraph-related federal quality-assurance programs.
- (U) Build and deploy a CUI training program aligned with new policy for DOD workforce.

(U) Transformation Areas

- (U) Modernize scalable-learning-delivery platform(s) to reach a ubiquitous audience and share capability with non-DCSA learning management systems.
- (U) Implement digital transformation utilizing machine learning and AI capabilities to enable polygraph use by operational enhancements
- (U) Establish a professional credential portfolio that includes learning paths for security professionals in the DOD community (professional credentials and certificates).



(U) NBIS PEO Mission and Focus



(U) Design, build, test, field, operate, maintain, and secure the federal government-wide IT service used to conduct suitability, security, and credentialing investigations for all federal civilians, military members, and government contractors

(U) Focus Areas

- (U) Deliver a modern and secure, end-to-end personnel vetting and background investigation (BI) IT system that mitigates substantial risk of catastrophic security/suitability clearance mission failure and implements TW 2.0 goals
- (U) Replace a suite of 85 legacy information technology systems through increased automation, security, and transparency in the investigatory process
- (U) Field DISS and successfully sunset JPAS

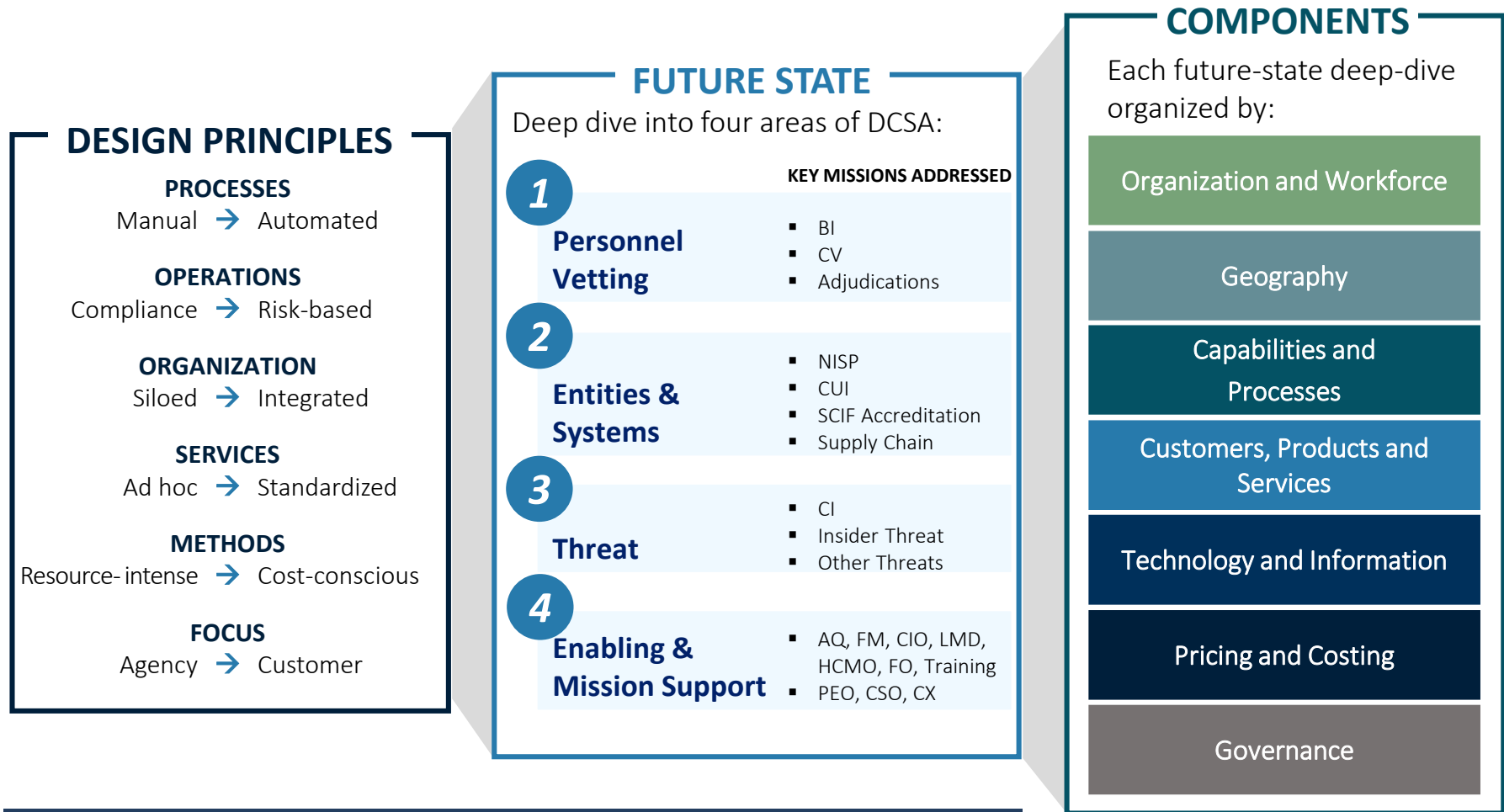
(U) NBIS Milestones and Way-Ahead

- (U) Automated CE deferral, launched SF86, auto CE enrollment, eApp, NBIS Agency
- (U) Automate enrollment in DNI CES to meet CE/CV compliance mandates
- (U) NBIS adjudication and subject management, launch SF85, 85P for all Fed, subject mgmt., adjudication results
- (U) Launch DISS supported access management, visit management and polygraph functionality
- (U) NBIS CE low-side case mgmt. to manage low-side alerts, population management, customized workflows
- (U) NBIS CE high-side case mgmt. to manage high-side alerts, VROC becomes service provider, TW1.5 compliant, CE/CV billing, cross domain for high-side workflow management
- (U) BI for all T1-3, messaging and billing, subj. portal, quality review, field work assigned
- (U) NBIS Full Deployment, TW 2.0 compliant



Driving Transformation with New Operating Model

DCSA is fundamentally changing how it operates—innovating to implement a service-based business model that supports the Defense enterprise and other departments and agencies.



Strengthen mission performance and optimize conduct of business.



DCSA Missions

